





Work Allocations			Actuals to 25/08/10	Remaining	Committed	Approved Plan (30/06/10)	Variance	Status at 25/08/10
Job No	Title	Lead						
1. ASSURANCE WORK								
Core Financial Systems								
08/0739	Creditors 2009/10	DA	2	0	2			✓
09/0776	Payroll 2009/10	DA	1	0	1			✓
09/0781	Council Tax 2009/10	DA	2	0	2			✓
09/0782	NNDR 2009/10	DA	2	0	2			✓
09/0786	Treasury Management 2009/10	AR	9	0	9			✓
Sub-total - Core Financial Systems			16	0	16	90	74	
Core Management Arrangements								
08/0738	Information Management & Security	AR	13	1	14			⚠
08/0774	Ethical Governance Framework	AR	7	1	8			⚠
10/0787	NFI 2010/11	DW	5		5			⚠
10/0791	Corporate Learning & Development	AR	8	3	11			⚠
10/0797	Budgetary Control	AR	3	22	25			⚠
Sub-total - Core Management Arrangements			36	27	63	150	87	
Risk Based Assurance Work Programme								
08/0730	Climate Change	LW	2	0	2			✓
08/0755	Contaminated Land	LW	11	1	12			✓
08/0579	Housing Standards	DA	8	0	8			✓
10/0762	Regeneration & Policy Probity	AR	10	5	15			⚠
10/0789	Development Management Capacity	LW	9	6	15			⚠
10/0795	Capital Contract Management	AR	2	23	25			⚠
10/0796	Contracted Services and SLAs	AR	2	23	25			⚠
Sub-total - Risk Based Assurance Work			44	58	102	135	33	
Follow-Up Reviews			28	42	70	70	0	∞
SUB-TOTAL - ASSURANCE WORK			124	127	251	445	194	
2. CONSULTANCY WORK								
Support Work (projects and other)								
10/0793	Counter Crime Policy & Strategy	AR	11	5	16			⚠
10/0509	RIPA Monitoring and Central Register	DW	1	1	2			∞
09/0788	Annual Governance Review & Statement 2009/10	DW	5	0	5			✓
10/0790	Overtime	AR	4	0	4			✓
10/0792	Grey Fleet Review	LW	16	2	18			✓
Sub-total - Support Work			37	8	45	100	55	
Efficiency & VfM								
			0	0	0			?
Sub-total - Efficiency & VfM			0	0	0	50	50	
Ad-Hoc Advice			28	37	65	65	0	∞
SUB-TOTAL - CONSULTANCY WORK			65	45	110	215	105	

Internal Audit Annual Plan 2010/11 - Update at 25 August 2010

Appendix A

Work Allocations			Actuals to 25/08/10	Remaining	Committed	Approved Plan (30/06/10)	Variance	Status at 25/08/10
Job No	Title	Lead						
3. OTHER								
08/0392	Deputy s151 Officer Duties	DW	6	9	15			∞
SUB-TOTAL - OTHER			6	9	15	15	0	
4. AUDIT MANAGEMENT								
10/0172	Committee Work	DW	8	10	18			∞
10/0189	Audit Planning & Monitoring	DW	13	25	38			∞
SUB-TOTAL - AUDIT MANAGEMENT			21	35	56	70	14	
5. CONTINGENCIES								
Investigations			15	5	20	60	40	
General Contingency			0	40	40	40	0	
SUB-TOTAL - CONTINGENCIES			15	45	60	100	40	
TOTALS								
			231	261	492	845	353	

Key:  Completed  In Progress  Not Yet Started  Continuous or Multi-Year Activity

 CFwd Carried Forward to 2011/12 Plan  Abandoned